

Subject: FINRA/NASAA Test Centers & Enrollments Update

Test Centers & Exam Enrollments

Updated September 28, 2020

FINRA and NASAA have recognized the challenges our test candidates have faced during this COVID-19 pandemic and extended all enrollment windows, most recently on August 24, through the same end date of September 30, 2020. In addition, on July 13, FINRA and NASAA announced that candidates and firms may schedule online test appointments for FINRA's Securities Industry Essentials (SIE), Series 6, and Series 7 exams and NASAA's Series 63, Series 65 and Series 66 exams. During August and September, FINRA has continued to work with Prometric to add online and test center appointment availability, which now exceeds the availability needed to satisfy open enrollments.

Since July 13, more than 7,000 candidates have successfully scheduled and taken one or more of these exams online. In addition, during the same time period, more than 47,000 candidates successfully scheduled and took one of these exams in a test center. **FINRA and NASAA believe there is sufficient appointment availability at test centers and online for candidates interested in taking the SIE, Series 6, Series 7, Series 63, Series 65 and Series 66 exams to schedule and take their exams. FINRA and NASAA will provide one additional extension of enrollment windows for the SIE, Series 6, Series 7, Series 63, Series 65 and Series 66 that are currently expired, or will expire, between March 16 and October 16, 2020. The exam enrollment end dates will be extended through the same end date of October 16, 2020. Affected enrollment windows will be systematically updated and reflected in CRD on September 29, 2020. All candidates should note that FINRA and NASAA do not contemplate any further extension of exam enrollment windows for these exams.**

FINRA and Prometric continue to make the health and well-being of our community a top priority. While [Prometric](#) has resumed testing in most of its U.S. and Canada test centers, at either full or limited occupancy, FINRA and the MSRB understand that some candidates for exams that are not available online (such as the Series 24 and Series 53 exams) are not yet ready to take their exams in a test center. **Therefore, for exams *not available online*, FINRA and the MSRB will extend all enrollment windows that are currently expired, or will expire, between March 16 and November 16, 2020. FINRA and the MSRB exam enrollment end dates will be extended through the same end date of November 16, 2020. Affected enrollment windows will be systematically updated and reflected in CRD on September 29, 2020. FINRA and the MSRB will continue to assess this situation and consider whether any additional extension of their exam enrollment windows is necessary.**

With the initial rollout of online testing earlier this year, online test candidates did not have the ability to review previously answered questions and make changes. Recently, FINRA changed this policy to be consistent with the test center experience; now candidates who take an exam online will be able to review questions that they had previously answered and make changes. In addition, most testing accommodations have been available only for appointments delivered at a test center. However, additional time can now be administered to online exam appointments for candidates with an approved [special accommodation](#) or [limited English proficiency \(LEP\) request](#). FINRA is testing various provisions to make additional online delivery accommodations available to candidates with disabilities.