

November 2, 2020 | 3:59 pm

Information on Novel Coronavirus

Coronavirus is still active in New York. We have to be smart. Wear a mask, maintain six feet distance in public and download the official New York State exposure notification app, COVID Alert NY.

GET THE FACTS >

Department of Financial Services

Supplement No. 4 to Insurance Circular Letter No. 9 (2020)

November 2, 2020

TO: All Producers Licensed by the New York Department of Financial Services (“DFS”)

RE: Coronavirus and Insurance Producer Licensing Requirements

STATUTORY AND REGULATORY REFERENCES: N.Y. Insurance Law Article 21

This is an update to [Insurance Circular Letter No. 9 \(2020\)](#), issued by DFS on March 25, 2020, [Supplement No. 1 to Insurance Circular Letter No. 9 \(2020\)](#), issued by DFS on May 21, 2020, [Supplement No. 2 to Insurance Circular Letter No. 9 \(2020\)](#), issued by DFS on July 2, 2020, and [Supplement No. 3 to Insurance Circular Letter No. 9 \(2020\)](#), issued by DFS on August 6, 2020, relating to “Coronavirus and Insurance Producer Licensing Requirements.”

Insurance Circular Letter No. 9 (2020) suspended the expiration of licenses for all individual producers for 60 days, from March 25, 2020 through May 24, 2020; waived any late fees resulting from, and accruing during, this 60-day period; and suspended the requirement that a monitor be present to complete producer continuing education and prelicensing course exams online during this 60-day period. Supplement No. 1 to Insurance Circular Letter No. 9 (2020) extended the relief provided in Insurance Circular Letter No. 9 (2020) for an additional 45 days, through July 8, 2020. Supplement No. 2 to Insurance Circular Letter No. 9 (2020) extended

the relief provided in Insurance Circular Letter 9 (2020) for an additional 30 days, through August 7, 2020. As a final accommodation, Supplement No. 3 extended the suspension of the expiration of individual producer licenses for an additional 30 days, through September 6, 2020, and extended the suspension of the requirement that a monitor be present to complete producer continuing education and prelicensing course exams online for an additional 90 days, through November 5, 2020.

DFS hereby eliminates the requirement that a monitor be present when an insurance producer takes any exam at the conclusion of either a continuing education or prelicensing course. This change does not modify the requirement to take an exam at the conclusion of a self-study continuing education course or online prelicensing course as set forth in the Continuing Education Criteria and Prelicensing Criteria. The Continuing Education Criteria and Prelicensing Criteria, which have been revised to reflect the elimination of the monitor requirement, can be found on the DFS website at

https://www.dfs.ny.gov/apps_and_licensing/insurance_education_providers/continuing_ed and https://www.dfs.ny.gov/apps_and_licensing/insurance_education_providers/prelicensing.

Please direct any questions to coned@dfs.ny.gov. Producers are also encouraged to visit www.dfs.ny.gov for periodic updates related to licensing requirements.

Very truly yours,

Ellen R. Buxbaum

Director, Producer Supervision and Enforcement Unit

Who We
Supervise

Institutions That We Supervise

The Department of Financial Services supervises many different types of institutions. Supervision by DFS may entail chartering, licensing, registration requirements, examination, and more.

[Learn More](#)

Department of Financial Services

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